

\* U D G X D W H ) D F X O W \ Z L W K ' R F W R U D O 5 H V H D U  
\$ S S R L Q W P H Q W 5 H D S S R L Q W P H Q W ) R U P

, Q V W U X F W L R Q V I R U & & & O D F D W L I R Q Q R I 3')

v 3 O H D V H G R 1 2 7 S U L Q W K D Q R G F X 6 I R J Q C S G / R E Q I 6 I R J Q C V 7 P Q U V X E N H D S Q Y H R V F L R Q G H Q W V  
v ' R Z Q O B Q G D Y D H Q \$ G R E H 3 ') G R E W B R Q F W U R A B B H F R P S O H W H I R U X F W U P ' V R L Q R W U I R Z D U H I Y L R D O D F  
v \$ G R E H \$ F U R E D D W D S H O D G E H U H S R G R U E U H E H L D W H W K H  
v \$ O O I D F X W R V \ X Q H Q G \$ G R E G I L 9 Q D W W K B V V R D Q H I R U P S U R P G S T A W W V A R S D V S I S U F Q D D W X U H

' R F W R S H Y G I D S S I S R L Q W P H Q W R U B S D S I S P R D V E M P S H Q H W H E R D V C G M D G X ) D W X D S O L F D H V W B R B Q G W K H  
H O H F W Q R V L V F D Q Q H G & \$ D S Q Q K H D W W R S D K U H H Z R U L V S W I R Q X F Y H H U L R L R U D U W L E S G W L I R U P D Q D B W H  
E H H Q S H H U U H Y K H Z O D G V Z V L W L K J U Q Z W L W M W H Q L B & F O W K D W K D Y H R B H S H C E Q F E P B D S Q M R K D W S S H D Q H G  
S U L Q W D F F H G M A D W V E V X S S O L H G W R 6 R K B R & C K D L W H R W R U 7 S C H A N Z D W H O H W S H Q Q G W K H H ' H D Q Z K R  
I R U Z D U G W R W K H \* Z K D K U X D D G X H D W F I K R F R K O R R O Z L O O S U R F H V V I R U W K H 6 W D Q G D U G V & R P P L W W

K95TWS,YDIDDDK#ERR A €0p#6 €'HDQ" RXE

\*) Z L W K ' 5( \$ S S O L F D W L R Q S D J H

6FKRODUVKLS LV GLVVHPLQDWHDWQWLRQDULOHDMOWKH QDWLRQDO  
6FKRODUVKLS LV SULPDULO\ MXULHG RU SHHU UHYLHZHG  
\$FWLYHO\ HQJDJHG LQ RZQ UHVHDWKDWPLXPGRUVFZBQWQHHQSSH  
SHUIRUPDQFHV WKDW KDYHEHHQVSHLQFHGXILQHZHG LQ WKH ODVW  
6FKRODUO\ ERRNV RU FKDSWHUV RI VXFK ERRNV  
7H[WERRNV RU FKDSWHUV RI VXFK ERRN  
0RQRJUDSKV DQG RU WHFKQLFDO QBIQXSDHOFVLRF QBSWRUHVVLQRQD  
3XEOLFDWLRQ LQ MXULHG HGLWHG RU UHIUHHG MRXUQDOV S  
)XOO MXULHG RUWKBMAKHDYGI SBIQHUSKQDHVSKURGFHLQGFLRQQI  
6HUYL FH DV HGLWRU RU RQ WKHDWGLWIRXIUQOERDUG RI D UHIH  
&RQFHUWV OHFWXUHV OHFWXLGHRUHSLWRDHWLQRDIOIVFRQLRFQHDUOW  
UHFRJQL]HG DV QDWLRQDO RU LQWHUQDWLRQDO FRQWULEXW  
)XQGHG 6FKRODUO\ JUDQWV RU FRIQWJUDFKWRODH\PDO XSGJHRVGXIETWQW  
&RS\ DQG SDVWHKRYDQWQFLSHIRUJRTWKEH\IRBQWWDVHFOHSDWQH&GXWQLFRXXQXP 9LWDH  
3OHDVH GLIIHUMQWIDPQARHQEMH\BZUHNGQQ WKLVOLVW



-XULHG RU UHIHUHHG SDSHUV SUHVHQWHG DW SURIHVVL  
HPSLULFDO VWXGLHV  
\$XWKRUHG VFKRODUO\QE & RMX & UHFGKEBRSRW H HU UHYLHZHG  
\$XWKRUHG WH[WERRN RU FKDSWHU LQ D MXULHG RU SHH  
3XEOLVKHG FXUUQFXXGRQJDWHILVWDWHP & QRFDO SPKVQ XRDQ V  
3URYLGHG SURIHVVLRQDO FRQVXOWDWLRQ  
& RQFHUWV OH RWXWHL RUQHDFQ WUDHOVGLSQRQDQG RU FRPSRV  
-XULHG RU LQYIWHG H[KLELWV DW SURIHVVLRQDO IRUXP  
)XQGHG VFKRODUO\ JUDQWV RU FRQWUDFWV  
, KDYH HGLWHG RIWVRJW\GE RQ WGRQIDHDQJHMHRUXW\QOF  
, KDYH ZKLWH SD \$HD\$VWV\WVQ QFSRHSVWHLQ\QDQV MXULHG SURGX

\*UDGXDW<sup>H</sup> 6HUYL<sup>FH</sup> 6WDQGDUGV FKHF<sup>N</sup> DOO WKDW DSSO\  
\$FWLYH FRQWLQ<sup>X</sup> \$SLQDQ<sup>W</sup> \$UH<sup>W</sup> \$D RVJDDMPK<sup>W</sup> SD UWP HQW  
WKURXJK WKH XQLYHUVLW\ ZLGH IOGI<sup>X</sup> FDOWL RQ\ KODHD GQ<sup>W</sup> KDS<sup>W</sup>  
WHQXUH DQG LQFOXGHV  
  
, QYROYHPHQW ZLW<sup>W</sup> FJUODXGPX QWYHIO RSPHQW  
\*UDGXDW<sup>H</sup> & RXQFLO VHHWYGLFHU RW RM<sup>W</sup> MUKRF FRPPLW  
\*UDGXDW<sup>H</sup> 3URJUDP & RRUGLQDW<sup>R</sup>U  
6SHFLDO \*UDGXDW<sup>H</sup> 6FKRRO DGPLVV<sup>E</sup>RQV FRPPLWWHHV  
, 5% RU , \$&8& PHPEHU  
6HUYL<sup>FH</sup> RQ UHVHDUFK UHODWHG FRPPLWWHHV  
\*UDGXDW<sup>H</sup> VWXGHQW SURJUDP DGYLVPHQW FRPPLWWHH  
5HVHDUFK \$GYLVRU WKHVLV FDSVWRQH RU GLVVHUWDW  
)DFXOW\ 5HSUHVHQWDWL<sup>YH</sup> RQ GR<sup>E</sup>WWRD<sup>W</sup>OR GH [FRPPLQDW<sup>W</sup>LRQ  
& RPPLWWHH OHPEHW<sup>W</sup>RQHWKRHUVGWVFB<sup>W</sup>SDWDLRQ FRPPLWWH

/LVW HYLGHQFH RI \*UDGXDW<sup>H</sup> U8YVL<sup>W</sup>FRB<sup>W</sup>ESWHDDQ<sup>W</sup>UR<sup>W</sup>RS\ DQG SDVWH HYLGHQ  
ZRUN DW RWKHU<sup>S</sup> QM<sup>W</sup>ADLEN<sup>W</sup>HWU<sup>W</sup>Q<sup>W</sup> YR<sup>W</sup>DHF<sup>W</sup>X<sup>W</sup>HF<sup>W</sup>XOX<sup>W</sup>

, PDLQWDLQ PHPEHUVKLS DQG DF~~WD~~W~~H~~ \$ DSUVR~~L~~H VSID RWQ BQ DQV  
VWDWH UHJLRQDO RU QDWLRQDO OHYHOV

/LVW HY~~W~~QHGQFDWH 6HUYLFH 6WDQGDUG \H DRWH &RSH DQGH USIDR/GWR I HN/E GHQ  
\RXU FXUULFXOXP YLWDH KHUH

, 9 7HDFKLQJ 6WDQGDUGV

3OHDV\*HU~~DQ~~X~~W~~H V&BX~~W~~WDW 81& RU RWKHUFLVQ VWDWXW, LQR QM~~KH~~ X~~ID~~V~~J~~ RWKH  
IDFXOW\ PHX~~P~~H B~~R~~XUVHV WR EH WDXJKW GMUQXQJEWKH VKISW(BDQODGHSDHULRLGW  
6(6 3URJUDP ODQDJPHQW @

816, \*1(' GRFXPHQWV ZLOO QRW EH UHYLHZHG

\$SSOLF DQW

\$ O S L S F D Q W

V 'DWH 6 L J

6LJQ DQG HPDLOGR~~K~~XP HHQWM FWRU RRQXUF 6WIRRUR O & KDLU RU 'LUH

